



In re Application of:)
D'AMATO et al.))) Art Unit: 1609
Application No.: 10/789,471 Filed: February 27, 2004)) Examiner: Kristie Latrice Brooks
) Attorney Docket No: 05213-3001 (13663-105082)
For: ESTROGENIC COMPOUNDS AS ANTI-MITOTIC AGENTS	ý)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants cite the information on the attached Form PTO/SB/08A, "Information Disclosure Statement by Applicant," pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98. A copy of each cited item is enclosed with the exception that copies of U. S. patents are not being provided pursuant to the wavier of 37 C.F.R. §1.98 for patent applications filed after June 30, 2003. Applicants have enclosed copies of the non-patent literature. Applicants have enclosed copies of all other references.

Related applications are listed on the attached Appendix A for your reference. Applicants respectfully request the Examiner review the application listed in the Appendix A, initial the Appendix A in the appropriate spaces, and return a copy of the initialed Appendix to applicants.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 0, 2007.

U.S. Application No. 10/789,471 Filing Date: February 27, 2004

Information Disclosure Statement

Page 2

This Information Disclosure Statement is being filed before the mailing date of a Final Office Action or a Notice of Allowance. Form PTO-2038 is enclosed to cover the fee of \$180 as required by 37 C.F.R. § 1.17(p). The Commissioner is authorized to charge any additional fees, or to credit any overpayment, to Deposit Account No. 11-0980.

The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submitted,

Robert E. Richards

Reg. No. 29,105

King & Spalding LLP 1180 Peachtree Street, N.E. 34th Floor, Atlanta, GA 30309-3521 404.572.4600 43170-3001 (13663-105082)

PATENTS

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In re Application of:)
D'AMATO et al.))
) Art Unit: 1609
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For: ESTROGENIC COMPOUNDS AS) · · · · · · · · · · · · · · · · · · ·
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<u>SUPPLEMENTAL APPENDIX A</u>

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants respectfully request that the Examiner review the below-listed patent applications. Please review and initial each listed application and return a copy of the initialed Supplemental Appendix A to the Applicants.

The serial numbers or publication numbers of the below-listed U.S. patent applications/patent publications are listed on this form as provided in MPEP §609(D), rather than a PTO-1449 form, to avoid the serial numbers of the pending U.S. patent applications being published on any patent that may issue from this application. Applicants do not waive the confidential nature of any of the below-listed patent applications.

S. Lynn Freeman-Rees - Patent Specialis

1.	U.S. Patent Publication No. 2005/0192258 published September 1, 2005 (05213-0853)
2.	U.S. Patent Publication No. 2005/0203075 published September 15, 2005 (05213-3061)
3.	U.S. Patent Publication No. 2005/0014737 published January 20, 2005 (05213-0891)
4.	U.S. Patent Publication No. 2006/0116360 published June 1, 2006 (05213-3083)
5.	U.S. Patent Publication No. 2006/0135796 published June 22, 2006 (05213-0732)
6.	U.S. Patent Publication No. 2006/0183727 published August 17, 2006 (05213-0496)
7.	U.S. Patent Publication No. 2007/0004689 published January 4, 2007 (05213-3062)
8.	U.S. Patent Publication No. 2007/0010505 published January 11, 2007 (05213-0892)
9.	U.S. Patent Application No: 11/701,809 filed February 2, 2007 (05213-0893) (Copy enclosed)
10.	U.S. Patent Application No. 11/599,997 filed November 14, 2006 (05213-3112) (Copy enclosed)

Respectfully submitted,

By:

Robert E. Richards Reg. No. 29,103

KING & SPALDING LLP 1180 Peachtree Street Atlanta, Georgia 30309-3521 (404) 572-4600

Our Docket: 05213-3001 (13663-105082)

05213-3001 (13663-105082)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Paperwork Respection Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

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STATEMENT BY APPLICANT	Group Art Unit	1609
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Attorney Docket Number

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		Substitute for Form 1449/A/PTO	Application No.	10/789,471
			Filing Date	02/27/2004
l I	NFO	RMATION DISCLOSURE	First Named Inventor	D'Amato et al.
S	TAT	TEMENT BY APPLICANT	Group Art Unit	1609
		(use as many sheets as necessary)	Examiner Name	Brooks, Kristie L.
Sheet		8 of 42	Attorney Docket Number	05213-3001 (13663-105082)
		OTHER PRIOR ART - NON PAT	ENT LITERATURE DOCI	MENTS
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Sheet	Sheet 9 of 42		Attorney Docket Number	05213-3001 (13663-105082)
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Complete if Known Application No. 10/789,471 Substitute for Form 1449/A/PTO Filing Date 02/27/2004 INFORMATION DISCLOSURE First Named Inventor D'Amato et al. STATEMENT BY APPLICANT Group Art Unit 1609 (use as many sheets as necessary) **Examiner Name** Brooks, Kristie L. Sheet Attorney Docket Number 05213-3001 (13663-105082) of 42 10 OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Examiner Cite T⁶ item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Initials No. publisher, city and/or country where published 88 Author: CHAUHAN et al. Title: Mechanisms of cell death and survival in multiple myeloma (MM): Therapeutic implications Publ: Apoptosis Vol/Iss: 8 (4) Pages: 337-343 01/2003 Date: 89 Author: CHAUHAN et al. Title: Superoxide-dependent and -independent mitochondrial signaling during apoptosis in multiple myeloma cells Publ: Oncogene Vol/Iss: 22 Pages: 6296-6300 Date: 01/2003 Title: 2-Methoxyestradiol overcomes drug resistance in multiple myeloma cells Author: CHAUHAN et al. Publ: Blood Vol/lss: 100 (6) Pages: 2187-2194 Date: 09/15/2002 Author: CLEVELAND et al. Title: A Radical Approach to Treatment Publ: <u>Nature</u> Vol/lss: 407 Pages: 309-311 Date: 09/21/2000 92 Author: CUMMINGS et al. Title: Apoptosis Puhl: The American Journal of Surgical Pathology Vol/lss: 21 (1) Pages: 88-101 1997 Date: Author: CURSIEFEN et al. Title: Angiogenesis in Corneal Disease: Histopathologic Evaluation of 254 Human Corneal Buttons with Neovascularization Puhl-Cornea Vol/Iss: 17 (6) Pages: 611-613 Date: 11/01/1998 Author: CUSHMAN et al. Title: Synthesis, Antitubulin and Antimitotic Activity, and Cytotoxicity of Analogs of 2-Methoxyestradiol, an Endogenous Mammalian Metabolite of Estradiol that Inhibits Tubulin Polymerization by Binding to the Colchicine Binding Site Journal of Medicinal Chemistry Vol/lss: 38 (12) Pages: 2041-2049 Date: 06/09/95

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			Filing Date	02/27/2004	
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S	TAT	TEMENT BY APPLICANT	Group Art Unit	1609	
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Sheet	Sheet 11 of 42		Attorney Docket Number	05213-3001 (13663-105082)	
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	101		odel of Mammary Estrogen Metaboli between Catechol Estrogens 2- and		
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S	TA	TEMENT BY APPLI	CANT	Group Art Unit	1609	
	(use as many sheets as necessary)		Examiner Name	Brooks, Kristie L		
Sheet	Sheet 12 of 42			Attorney Docket Number	05213-3001 (136	63-105082)
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	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
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	103	Author: DAY et al.	Title: The effects ovarian can	of 2-substituted oestrogen sulphama cer cells	ites on the growth of pro	ostate and
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		Biology	Vol/lss: 8	4 Pages: 317-	325 Date:	01/2003
	104	Author: De BONO et al.	Title: The Future	of Cytotoxic Therapy: Selective Cyt		
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			Vol/Iss: 1	5 (5) Pages: 399-	406 Date:	01/01/1995
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		Publ: Endocrinology	rvover Bigina	ang raarway (1303aaot omy-13ppine	ants do not have compr	сис сору)
		Endocrinology	Vol/Iss: 1	39 (1) Pages: 213-	210	4000
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Ī	108	Author: DJAVAHERI-MERGNY et	Title: TNFα Pote	ntiates 2-Methoxyestradiol-Induc		
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				Filing Date	02/2	27/2004		
I	NFC	RMATION DISCLO	OSURE	First Named Invent	tor D'A	Amato et al.		
S	TAT	TEMENT BY APPL	ICANT	Group Art Unit	160	9		
		(use as many sheets as necessary)		Examiner Name	Bro	oks, Kristie L		
Sheet		13 of 42		Attorney Docket No	umber 052	13-3001 (136	63-105082)	
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	110	Author: DUBEY et al.		radiols Mediate the Antim			/ascular	
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	111	Author: DUBEY et al.	Title: Cardiovascu	lar Pharmacology of Estr	adiol Metabolites			
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	113	Author: DUBEY et al.		tradiols Mediate the Anti				ヿ
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S	TA	TEMENT BY APPLICANT	Group Art Unit	1609	
		(use as many sheets as necessary)	Examiner Name	Brooks, Kristie L.	
Sheet		14 of 42	Attorney Docket Number	05213-3001 (13663-105082)	
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S	TAI	TEMENT BY APPLICANT	Group Art Unit	1609
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			Filing Date	02/27/2004
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S	TAT	TEMENT BY APPLICANT	Group Art Unit	1609
		(use as many sheets as necessary)	Examiner Name	Brooks, Kristie L.
Sheet		17 of 42	Attorney Docket Number	05213-3001 (13663-105082)
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		(use as many sheets as necessary)	Examiner Name	Brooks, Kristie L.
Sheet		18 of 42	Attorney Docket Number	05213-3001 (13663-105082)
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ĺ				Filing Date	02/27/2004	
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S	TAT	TEMENT BY APPL	ICANT	Group Art Unit	1609	
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Sheet		21 of 42		Attorney Docket Number	05213-3001 (13	663-105082)
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			Filing Date	02/27/2004	
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S	TA	TEMENT BY APPLICANT	Group Art Unit	1609	
		(use as many sheets as necessary)	Examiner Name	Brooks, Kristie L.	
Sheet		22 of 42	Attorney Docket Number	05213-3001 (13663-105082)	
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Sheet		23 of 42		Attorney Docket Number	05213-3001 (136	63-105082)
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	180	Author: LIN et al.		of 2-Methoxyestradiol-Induced, D		
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							Filing Date		02/27/2004		
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Sheet		25	of	42			Attorney Doc	ket Number	05213-3001	(13663-105082)	1
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		(use as many sheets as necessary)	Examiner Name	Brooks, Kristie L.
Sheet		29 of 42	Attorney Docket Number	05213-3001 (13663-105082)
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			Filing Date	02/27/2004
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Sheet		30 of 42	Attorney Docket Number	05213-3001 (13663-105082)
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

Application No. 10/789,471	Substitute for Form 1449/A/PTO		Complete if Known							
STATEMENT BY APPLICANT Group Art Unit 1669 Examiner Name Brooks, Kristic L.			Application N	0.	10/789,471					
STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 33 of 42 Attorney Docket Number Brooks, Kristie L. Sheet 33 of 42 Attorney Docket Number 05213-3001 (1363-105082) STATEMENT BY APPLICANT Examiner Name Brooks, Kristie L. Attorney Docket Number 05213-3001 (1363-105082) STATEMENT BY ART - NON PATENT LITERATURE DOCUMENTS					Filing Date		02/27/2004			
Sheet 33 of 42 Attorney Docket Number Brooks, Kristie L.			First Named I	nventor	D'Amato et al.					
Sheet 33 of 42 Attorney Docket Number 05213-3001 (13663-105082) Comparison of the Comparison of the Author: Schumar of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published Author: SANISLO et al.			Group Art Un	it	1609					
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Vol/Iss: 127 Pages: 405-410 Date: 2001 254 Author: SCHUMACHER et al. Publ: Title: 2-Methoxyestradiol induces p53 independent apoptosis and inhibits growth of lung metastases of pancreatic cancer (English summary Page 52)				Clinical Oncology						
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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			Application No.	10/789,471		
				Filing Date	02/27/2004	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		JRE _	First Named Inventor	D'Amato et al.		
		NT _	Group Art Unit	1609		
		(use as many sheets as necessary)		Examiner Name	Brooks, Kristie I	L
Sheet	I	34 of 42		Attorney Docket Number	05213-3001 (136	663-105082)
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INFORMATION DISCLOSURE		RE First	Named Inventor	D'Amato et al.		
S	TAI	TEMENT BY APPLICAN	T Grou	ıp Art Unit	1609	
		(use as many sheets as necessary)	Exa	miner Name	Brooks, Kristie I	٠.
Sheet		35 of 42	Atto	ney Docket Number	05213-3001 (136	663-105082)
		OTHER PRIOR ART - NO	ON PATENT LI	TERATURE DOCUM	MENTS	
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			Filing Date	02/27/2004
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S	TAT	TEMENT BY APPLICANT	Group Art Unit	1609
		(use as many sheets as necessary)	Examiner Name	Brooks, Kristie L.
Sheet		36 of 42	Attorney Docket Number	05213-3001 (13663-105082)
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Application No. 10/789,471						Complete i	f Known		
Sheet 37 of 42 Attorney Docket Number D'Amato et al.			Substitute for Form 1449/A/PTO		Application N	lo.	10/789,471		
STATEMENT BY APPLICANT (usc as many sheets as necessary) Sheet 37 of 42 Attorney Docket Number OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Examiner Name OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, strail, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published 277					Filing Date		02/27/2004		
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Sheet 37 of 42 Attorney Docket Number 05213-3001 (13663-105082) OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Examiner Initials No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published 277 Author: SWEENEY et al. Title: The Antiangiogenic Property of Docetaxel Is Synergistic with a Recombinant Humanized Monoclonal Antibody Against Vascular Endothelial Growth Factors Vollss: 61 Pages: 3369-3372 Date: 04/15/2001 278 Author: TABBARA, K.F. Title: Disruption of the Choroidoretinal Interface by Toxoplasma (Abstract only-Applicants do not have complete copy) 279 Author: TAKAHASHI et al. Publ: Cancer Letters Vollss: 4 (Part 2) Pages: 366-373 Date: 01/01/1990 279 Author: TAKAHASHI et al. Title: Effects of estrogens and metabolites on endometrial carcinogenesis in young adult mice initiated with N-ethyl-N'-nitro-N-nitrosoguanidine 280 Author: TAKANASHI et al. Title: Metabolism of [6,7-³H, ³S] estradiol 17 sulfate in rats Vollss: 211 Pages: 1 - 9 Date: 01/2004 281 Author: TAKANASHI et al. Title: Comparison of ex vivo Inhibitory Effect Between 2-Hydroxyestradiol and its 17-Sulfate on Rat Hepatic Microsomal Lipid Peroxidation Title: Comparison of ex vivo Inhibitory Effect Between 2-Hydroxyestradiol and its 17-Sulfate on Rat Hepatic Microsomal Lipid Peroxidation Title: 2-Methoxyestradiol Enhances p53 Protein Transduction Therapy-Associated Inhibition of the Proliferation of Oral Cancer Cells through the Suppression of NF-B	S	TAT	TEMENT BY APPLI	CANT	Group Art U	nit	1609		
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Complete if Known Application No. 10/789,471 Substitute for Form 1449/A/PTO Filing Date 02/27/2004 INFORMATION DISCLOSURE First Named Inventor D'Amato et al. STATEMENT BY APPLICANT Group Art Unit 1609 (use as many sheets as necessary) **Examiner Name** Brooks, Kristie L. Sheet of Attorney Docket Number 42 05213-3001 (13663-105082) 38 **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS** Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Examiner Cite T⁶ item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Initials No 1 publisher, city and/or country where published Title: Microtubule-Active Drugs Taxol, Vinblastine, and Nocodazole Increase the Levels of 284 Author: TISHLER et al. Transcriptionally Active p53 Publ: Cancer Research Vol/Iss: 55 Pages: 6021-6025 Date: 12/15/95 285 Author: TREMBLAY et al. Title: A Convenient Synthetic Method for Alpha-Alkylation of Steroidal 17-Ketone: Preparation of 16β-(THPO-Heptyl)-Estradiol Publ: Synthetic Communications Vol/lss: 25 (16) Pages: 2483-2495 1995 Date: Author: TREMBLAY et al. Title: Synthesis of 16-(Bromoalkyl)-Estradiols Having Inhibitory Effect on Human Placental Estradiol 17β-Hydroxysteroid Dehydrogenase (17β-HSD Type 1) Publ: Bioorganic & Medicinal Chemistry Vol/lss: 3 (5) Pages: 505-523 1995 Date: 287 Author: TSUTSUI et al. Title: Induction of Mammalian Cell Transformation and Genotoxicity by 2-Methoxyestradiol, an Endogenous Metabolite of Estrogen Publ: <u>Carcinogenesis</u> Vol/lss: 21 (4) Pages: 735-740 Date: 01/01/2000 288 Author: TUDER et al. Title: The Pathobiology of Pulmonary Hypertension. Endothelium Publ: Clinicals in Chest Medicine Vol/lss: 22 (3) Pages: 405-418 Date: 09/01/2001 Title: 2-Methoxyestradiol Inhibits Longitudinal Bone Growth in Normal Female Rats Author: TURNER et al. Publ-

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

			Complete if Known					
		Substitute for Form 1449/A/PTO	Application No.	10/789,471				
			Filing Date	02/27/2004				
H	NFO	RMATION DISCLOSURE	First Named Inventor	D'Amato et al.				
S	TA	TEMENT BY APPLICANT	Group Art Unit	1609				
		(use as many sheets as necessary)	Examiner Name	Brooks, Kristie L	٠.			
Sheet		41 of 42	Attorney Docket Number	05213-3001 (136	663-105082)			
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Examiner	C't.	Include name of the author (in CAPITAL LE						
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S	TAT	TEMENT BY AP	PLICANT	Group Art Unit	1609			
		(use as many sheets as neces	ssarv)	Examiner Name	Brooks, Kristie I	L.		
Sheet		42 of 42		Attorney Docket Number	05213-3001 (136	663-105082)		
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